



香港銀行學會
The Hong Kong Institute of Bankers

Module Exemption Application Form for Professional Certificate for ECF on Fintech (FIN)

Please read **“Important Notes for Application”** **BEFORE** completing this form



IMPORTANT NOTES FOR APPLICATION

1. Please read the “ECF on Fintech Programme Handbook” and fully understand the rules and regulations.
2. This application form is only applicable for current ECF on Fintech (Core Level) programme candidates to apply Module exemption.
3. Exemption claims will only be considered for the stated pre-approved/recognised qualifications.
4. All applications are subject to review and approval by the HKIB. The Institute reserves its right to refuse application for exemption if deemed appropriate.
5. Completed application form with all required supporting documents must be submitted to the HKIB office in person or by mail to the following address. Application with incomplete information and/or applications by fax will NOT be accepted. Application sent to the HKIB with insufficient postage or packaging will NOT reach the HKIB.

“Application for ECF on Fintech (Core Level) Module Exemption”
The Hong Kong Institute of Bankers
3/F Guangdong Investment Tower
148 Connaught Road, Central, Hong Kong

6. The applicant should submit all relevant documentary evidence for his/her qualifications (i.e. certificates, official transcripts, etc.) together with this application form. Only certified true copies of the documents are accepted. We only accept photocopies of the documents which are certified as true copies by:
 - The HKIB staff; or
 - HR/authorised staff of current employer (Authorized Institution); or
 - recognised certified public accountant / lawyer / banker / notary public; or
 - The Hong Kong Institute of Chartered Secretaries (HKICS) member.

Certifier must **sign** and **date** the copy document (printing his/her **name** clearly in capital letters underneath and clearly indicate his/her **position** on it. Certifier must state that it is a true copy of the original (or words to similar effect).

7. All documents submitted will not be returned regardless of the result of the application.
8. Please read “Policy of Personal Data Protection” set out on the HKIB website before application.
9. Application fee for each exemption application form is HKD200. It will be **non-refundable** and **non-transferrable**.
10. Exemption fee per module is HKD830. Exemption fee will not be charged if the application is unsuccessful. The amount of exemption fee is subject to the number of module(s) approved.
11. It is expected to take 60 days for the HKIB to process exemption applications under normal circumstance.

Contact Us

Address : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong

Website : <http://www.hkib.org>

Telephone : (852) 2153 7821

Email : exam@hkib.org



Received on:

Acknowledged on:

Section A: Personal Particulars

Title: <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Dr <input type="checkbox"/> Prof		HKIB Membership: <input type="checkbox"/> Yes _____ <input type="checkbox"/> No (Please specify the Membership No.)	
Name in English: (as shown on identity document) (Surname) (Given Name)		Name in Chinese: (as shown on identity document)	
HKID / Passport Number (please delete where inappropriate):			
Mobile Phone No. :		Primary Email Address ¹ :	
Correspondence Address:		Secondary Email Address (if any):	
Name of Employer:		Office Telephone No.:	
Position/ Job Title:		Department:	
Office Address:			
Highest Academic Qualification Obtained:		University / Tertiary Institution:	Date of award:
Other Professional Qualifications:		Professional Bodies:	

¹All HKIB communication will be sent via email by using the **Primary Email Address**.

Section B: Module Exemption

Applicants with appropriate qualification(s) may apply module(s) exemption on ECF on Fintech (Core Level) Programme, unless otherwise specified. Please “✓” the module(s) which you would like to apply for exemption.

Please “✓”	Module to be Exempted	Qualification Obtained / Examination Passed
<input type="checkbox"/>	Module 1 – Technology Essentials	<input type="checkbox"/> Path 1: Completion of eligible training programme(s) ¹ for ECF – Fintech (Core Level) – Module 1, please specify: Name of Training Programme: (_____) Programme Provider: (_____) OR <input type="checkbox"/> Path 2: Syllabus Mapping

Please “✓” the appropriate boxes.



Please "✓"	Module to be Exempted	Qualification Obtained / Examination Passed
<input type="checkbox"/>	Module 2 – Banking and Risk Essentials	<input type="checkbox"/> Path 1: Completion of eligible training programme(s) ¹ for ECF – Fintech (Core Level) – Module 2, please specify: Name of Training Programme: (_____) Programme Provider: (_____) OR <input type="checkbox"/> Path 2: Syllabus Mapping
<input type="checkbox"/>	Module 4 – Fundamental Software Development	<input type="checkbox"/> Path 1: Completion of eligible training programme(s) ¹ for ECF – Fintech (Core Level) – Module 4, please specify: Name of Training Programme: (_____) Programme Provider: (_____) OR <input type="checkbox"/> Path 2: Syllabus Mapping

¹ Please refer to Eligible Training Programme for ECF-Fintech (Core Level) via HKMA official website (https://www.hkma.gov.hk/media/eng/doc/key-functions/banking-stability/ECF_on_Fintech_Modular_Exemption.pdf). Please also note that the modular exemption lists may be updated from time to time.

Note for Path 2: Other training programmes covering syllabi that have sufficient overlap with that of the ECF-Fintech can also be considered for modular exemption on a case-by-case basis. Please refer to “Annex” as a sample to complete your syllabus mapping table and enclose the corresponding certified true copies of your certificate(s) and official transcript(s) in your module exemption application.

Please “✓” the appropriate boxes.



Section C: Exemption Application Fee

Application fee PER APPLICATION FORM: HKD 200

Exemption fee PER MODULE: HKD 830

(For example, if you request to apply exemption for Module 1,2 & 4, the total amount of application fee will be HKD(200+ 830x3) =HKD2,690.)

- Paid by Employer
- A cheque / e-Cheque* made payable to “The Hong Kong Institute of Bankers” (cheque no. _____).

**For e-Cheque, please state “ECF on Fintech (Core Level) exemption” under ‘remarks’ and email together with the completed application form to exam@hkib.org.*

- Credit card:
 - Visa Mastercard

Card No: - - -

Expiry Date (MM/YY) /

Name of Cardholder (as on credit card)

Total amount: HKD _____

Signature (as on credit card)

Please “✓” the appropriate boxes.



Section D: Statement on Collection of Personal Data

- It is necessary for applicants to supply their personal data and to provide all the information requested in the application documents, otherwise the HKIB may be unable to process and consider their applications.
 - The personal data provided in this form will be used for processing your application for membership, training, examination, exemption, certification, statistical and programme information update purposes. The data will be solely handled by the HKIB staff but may be transferred to an authorised third party providing services to the HKIB in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
 - When the processing and consideration of all the applications for a particular training, examination, membership, exemption and certification enrolment have been completed, the application papers of successful candidates will serve as part of the applicant's official records and will be handled by the HKIB staff in relation to the stated purposes. For unsuccessful applications, we only keep your personal data for as long as we reasonably require and, in any event only for as long as the law allows. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential.
 - Applicants understand that they have the right to check whether the HKIB holds personal data about them and that, if so, they have a right of access to their personal data. They can request the HKIB to correct any inaccurate personal data and if they need to obtain a copy of their personal data or have it corrected, they can write to the HKIB. They understand that the HKIB is permitted by law to charge a reasonable fee for the processing of any data access request.
 - Personal data provided on the application form will be used by the HKIB for the purpose relating to application and admission. For details of the [Policy of Personal Data Protection](http://www.hkib.org) Statement, please refer to the website: <http://www.hkib.org>
- The HKIB would like to provide the latest information to you via weekly eNews. If you do not wish to receive it, please tick the box.

Section E: Acknowledgement and Declaration

- I hereby make application for the exemption of the ECF on Fintech (Core Level) programme module(s) offered by The Hong Kong Institute of Bankers (HKIB). I declare that the information given in this application form is true and accurate.
- I understand that the application fee paid is non-refundable and non-transferable.
- I fully understand that the HKIB reserves the rights to reject any application without sufficient documents (e.g. personal information, certificates, official transcripts, etc.), and to charge the application fee and exemption fee.
- I acknowledge that the HKIB has the right to reject my exemption application if I do not meet the requirements.
- I agree to notify the HKIB of any material changes to my responses to any of the questions in this application, including my contact details. I understand and agree that the HKIB may investigate the statements I have made with respect to this application, and that I may be subject to disciplinary actions for any misrepresentation (whether fraudulent and otherwise) in this application.
- I understand and agree to comply with all conditions, requirements, policies and procedures established by the HKIB as may be amended from time to time.
- I confirm that I have read and understood the Policy of Personal Data Protection set out on the HKIB website at <https://www.hkib.org/>, and consent to the terms set out therein. I also understand that the Institute will use the information provided and personal data collected for administration and communication purposes.
- I confirm that I have read the relevant Qualification Handbook and fully understand the rules and regulations.
- I have read, agreed and comply with the “**Important Notes for Application**” BEFORE completing this application form.

 Signature of Applicant
 (Name: _____)

 Date

Please “✓” the appropriate boxes.

Document Checklist

To facilitate the application process, please check the following items before submitting to the HKIB.
Thank you.

- Completed and signed this Application Form
- Copies of your HKID / Passport enclosed
- Certified true copies of your certificate(s) and official transcripts enclosed¹
- Certified true copies of relevant qualification(s), corresponding course outlines, syllabus, samples of assessments, etc., if applicable enclosed¹
- Payment or evidence of payment enclosed (cheque or completed Credit Card Payment Instructions)

Please "✓" the appropriate boxes.

¹Submitted copies of documents to HKIB must be certified as true copies of the originals by:

- The HKIB staff; or
- HR/authorised staff of current employer (Authorized Institution); or
- A recognised certified public accountant / lawyer / banker / notary public; or
- The Hong Kong Institute of Chartered Secretaries (HKICS) member.

Certifier must **sign** and **date** the copy document (printing his/her **name** clearly in capital letters underneath) and clearly indicate his/her **position** on it. Certifier must state that it is a true copy of the original (or words to similar effect).

FOR INSTITUTE USE ONLY

Assessed by : _____ (Staff Name) _____ (Date)

Reviewed by : _____ (Staff Name) _____ (Date)

Approved / Rejected by: _____ (Staff Name) _____ (Date)

Remarks: _____

- Applicant may consider applying exemption of a ECF on Fintech module by multiple qualifications.
- Please enclose corresponding **certified true copies** of your certificate(s) and official transcript(s).
- Please enclose syllab(us/i) or detail course outline(s) of relevant course(s), sample(s) of assessment, etc. of qualification(s)/course(s).

**SYLLABUS MAPPING TABLE
(Sample)**

1. Name of Qualification Achieved: **e.g. Bachelor of Accounting in ABC University**

Name of Programme e.g. CB Module		Qualification Achieved	
Name of Module	Section(s) of Syllabus	Module (s) Completed	Section(s) of Syllabus
e.g. Elective: Fundamentals of Accounting	e.g. Chapter 1: Financial Reporting	e.g. Module Name: ACCT1234 Principle of Accounting	e.g. Ch2. Analysing and Recording Transactions Ch.3 Adjusting Accounts and Preparing Financial Statements
	1. Basics of Book Keeping	HKU ABC: ACCT1234 Introduction to Accounting	Ch.13 Accounting for Corporations Ch.16 Statement of Cash Flows
	Chapter 2: Earnings and Ratios analysis	Corporate Finance: FINA1234	Ratios and earnings are taught in capital budgeting and valuation
	Chapter 3: Investment Decisions and Valuation	Corporate Finance: FINA1234	Income from continuing operations and earnings quality Statement cash flows
			Evaluate managerial decisions in corporate investing and financing activities